

**KENTUCKY REGISTRY OF ELECTION FINANCE**

140 WALNUT STREET, FRANKFORT, KENTUCKY 40601-3240

(502) 573-2226 / FAX (502) 573-5622

www.kref.ky.gov

Page 1 of       **ELECTION FINANCE STATEMENT - COVER PAGE**

(Please type or print)

This Space for Registry Use Only

1. Candidate/Slate of Candidates : \_\_\_\_\_  
Committee Name: \_\_\_\_\_  
Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_ KREF Filer # \_\_\_\_\_  
Office Sought: \_\_\_\_\_  
District/Division Number: \_\_\_\_\_  
County of Residence: \_\_\_\_\_  
Political Party: \_\_\_\_\_

2. Candidate/Slate of Candidates/Committee Mailing Address:

Daytime Phone Number: (\_\_\_\_) \_\_\_\_ - \_\_\_\_

3. Treasurer's Name and Mailing Address:

Daytime Phone Number: (\_\_\_\_) \_\_\_\_ - \_\_\_\_

Logged \_\_\_\_\_ Keyed \_\_\_\_\_

Election Status: W L

Candidate Status:

INELG

WD

DD

T

Reporting Status:

S Only

SWP

S/D

☒ Continue to G

Debt Only

4. This Statement Covers:

From: \_\_\_\_\_

Month - Day - Year

To: \_\_\_\_\_

Month - Day - Year

**INCLUDE INFORMATION FOR THIS ELECTION ONLY**

5. Date of Election: \_\_\_\_\_

Month-Day-Year

This Statement relates to: ☐ Primary ☐ General ☐ Special☐ Run-off Primary

6. Type of Statement

a. ☐ Quarterlyb. ☐ 32-day Pre-Electionc. ☐ 15-day Pre-Electiond. ☐ 30-day Post-Electione. ☐ 60-day Post-Election Supplementalf. ☐ Annual Supplementalg. ☐ Termination \_\_\_\_\_

Month-Day-Year

h. ☐ AMENDMENT - Check one of the items above  
to indicate which statement is being amended.

**NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this statement to the penalties of KRS 121.990.**

7. Verification: I certify that I have examined this Election Finance Statement and to the best of my knowledge and belief it is true, correct, and complete.

Candidate or

Treasurer: \_\_\_\_\_ Date: \_\_\_\_\_

Type or Print Name

Authorized Signature

Month-Day-Year

# SUMMARY PAGE

Page 2 of       

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

RECEIPTS		COLUMN 1	COLUMN 2
1.	CONTRIBUTIONS: (including all receipts from Fundraisers)	(This Period)	(Cumulative This Election)
	a. Itemized by check or written instrument (Schedule 1A, Item 4a)	\$ _____	\$ _____
	b. Other receipts (Schedule 1A, Item 4c)	+\$ _____	\$ _____
	c. Receipts in currency (Number of people _____) (Individual cash contribution limit is \$50)	+\$ _____	\$ _____
	d. Anonymous (Number of people _____) (Maximum \$50 per contribution)	+\$ _____	\$ _____ (\$1,000 Maximum Per Election)
	e. Unitemized contributions (Number of people _____) (Contributions by check of \$100 or less)	+\$ _____	\$ _____
	f. Political Action Committee (PAC) contributions (Schedule 1B, Item 4a)	+\$ _____	\$ _____
	g. Executive Committee contributions (Schedule 1C, Item 3a)	+\$ _____	\$ _____
	h. Caucus Campaign Committee contributions (Schedule 1D, Item 3a)	+\$ _____	\$ _____
2.	TOTAL RECEIPTS	= \$ _____	\$ _____
<b>DISBURSEMENTS</b>			
3.	TOTAL DISBURSEMENTS (Schedule 2, Item 4)	\$ _____	
<b>IN-KIND CONTRIBUTIONS</b>			
4.	a. In-kind Contributions Received (Schedule 1A, Item 4b)	\$ _____	\$ _____
	b. In-kind Contributions Received (PAC) (Schedule 1B, Item 4b)	\$ _____	\$ _____
	c. In-kind Contributions Received (Executive Com.) (Schedule 1C, Item 3b)	\$ _____	\$ _____
	d. In-kind Contributions Received (Caucus Campaign Com.) (Schedule 1D, Item 3b)	\$ _____	\$ _____
<b>DEBTS AND OBLIGATIONS</b>			
5.	TOTAL DEBTS AND OBLIGATIONS (Schedule 4, Item 7)	\$ _____	
<b>BALANCE STATEMENT</b>			
6.	Ending balance of previous report (Enter -0- if no previous report)	\$ _____	
7.	Add total receipts during reporting period (Line 2, Column 1)	+\$ _____	
8.	Sub-Total (Add lines 7 and 8)	= \$ _____	
9.	Subtract total disbursements during reporting period (Line 3, Column 1)	-\$ _____	
10.	ENDING BALANCE (Subtract Line 9 from Line 8)	= \$ _____	

# RECEIPTS SCHEDULE 1A

Page \_\_\_\_ of \_\_\_\_

Receipts in Excess of \$100 Must be Itemized

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Contributor Name and Address Contributor Occupation and Employer Spouse's Name, Occupation and Employer	1b. Marital Status	2. Date of Receipt ----- 3. Type of Contribution	4a. Contribution by Check or Written Instrument	4b. Description and Value of In-Kind	4c. Other Receipts	5. Cumulative for Election (per Contributor)
		____/____/____ <input type="checkbox"/> Direct from Candidate <input type="checkbox"/> Loan from Candidate <input type="checkbox"/> Direct from a person or authorized entity <input type="checkbox"/> Fundraising Event <input type="checkbox"/> Fundraiser (person) <input type="checkbox"/> In-kind <input type="checkbox"/> Other: _____				
		____/____/____ <input type="checkbox"/> Direct from Candidate <input type="checkbox"/> Loan from Candidate <input type="checkbox"/> Direct from a person or authorized entity <input type="checkbox"/> Fundraising Event <input type="checkbox"/> Fundraiser (person) <input type="checkbox"/> In-kind <input type="checkbox"/> Other: _____				
		____/____/____ <input type="checkbox"/> Direct from Candidate <input type="checkbox"/> Loan from Candidate <input type="checkbox"/> Direct from a person or authorized entity <input type="checkbox"/> Fundraising Event <input type="checkbox"/> Fundraiser (person) <input type="checkbox"/> In-kind <input type="checkbox"/> Other: _____				
		____/____/____ <input type="checkbox"/> Direct from Candidate <input type="checkbox"/> Loan from Candidate <input type="checkbox"/> Direct from a person or authorized entity <input type="checkbox"/> Fundraising Event <input type="checkbox"/> Fundraiser (person) <input type="checkbox"/> In-kind <input type="checkbox"/> Other: _____				

<b>SUBTOTAL THIS PAGE</b>			
<b>TOTAL THIS PERIOD</b> (Only on last page of Schedule)	Enter this total on Col. 1, line 1a of Summary Page	Enter this total on Col. 1, line 4a of Summary Page	Enter this total on Col. 1, line 1b of Summary Page

# PAC RECEIPTS SCHEDULE 1B

Page \_\_\_\_ of \_\_\_\_

Receipts from PACs must be itemized regardless of amount

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Permanent Committee Name and Address ----- 2. Major business, social, or political interest represented by committee	3. Date of Receipt  ____/____/____	4a. Contribution by Check or Written Instrument	4b. Description and Value of In-Kind	5. Cumulative for Election (per Contributor)
	____/____/____			
	____/____/____			
	____/____/____			
	____/____/____			
	____/____/____			

<b>SUBTOTAL THIS PAGE</b>		
<b>TOTAL THIS PERIOD</b> (Only on last page of Schedule)	_____ Enter this total on Col. 1, line 1f of Summary Page	_____ Enter this total on Col. 1, line 4b of Summary Page

# EXECUTIVE COMMITTEE RECEIPTS SCHEDULE 1C

Page \_\_\_\_ of \_\_\_\_

Receipts in Excess of \$100 Must be Itemized

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Executive Committee Name and Address	2. Date of Receipt	3a. Contribution by Check or Written Instrument	3b. Description and Value of In-Kind	4. Cumulative for Election (per Contributor)
	____/____/____			
	____/____/____			
	____/____/____			
	____/____/____			
	____/____/____			

**SUBTOTAL THIS PAGE**

<b>5. Total Cash and Unitemized Executive Committee Contributions</b>		
Cash _____	+ Unitemized _____	=
Number of Cash Receipts _____	Number of Unitemized Receipts _____	
<b>TOTAL THIS PERIOD</b> (Only on last page of Schedule)		
		\$ _____ Enter this total on Col. 1, line 1g of Summary Page
		\$ _____ Enter this total on Col. 1, line 4c of Summary Page

# CAUCUS CAMPAIGN COMMITTEE RECEIPTS SCHEDULE 1D

Page \_\_\_\_ of \_\_\_\_

Receipts in Excess of \$100 Must be Itemized

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Caucus Campaign Committee Name and Address	2. Date of Receipt	3a. Contribution by Check or Written Instrument	3b. Description and Value of In-Kind	4. Cumulative for Election (per Contributor)
	____/____/____			
	____/____/____			
	____/____/____			
	____/____/____			
	____/____/____			

## SUBTOTAL THIS PAGE

5. Total Cash and Unitemized Caucus Campaign Committee Contributions			
Cash _____	+ Unitemized _____	=	
Number of Cash Receipts _____	Number of Unitemized Receipts _____		
<b>TOTAL THIS PERIOD</b> (Only on last page of Schedule)		\$ _____ <small>Enter this total on Col. 1, line 1h of Summary Page</small>	\$ _____ <small>Enter this total on Col. 1, line 4d of Summary Page</small>

# ITEMIZED DISBURSEMENTS SCHEDULE 2

Page \_\_\_\_ of \_\_\_\_

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Name and Address of Person or Business Paid List Occupation if Paid to a Person	2. Purpose of Disbursement (Be specific)	3. Date of Disbursement	4. Amount Disbursed
		____/____/____	
		____/____/____	
		____/____/____	
		____/____/____	
		____/____/____	
		____/____/____	
		____/____/____	

<b>SUBTOTAL THIS PAGE</b>	
<b>TOTAL THIS PERIOD</b> (Only on last page of Schedule)	_____ Enter this total on Col. 1, line 3 of Summary Page

# EVENTS SCHEDULE 3

Page \_\_\_\_ of \_\_\_\_

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Sponsor of Event and Address where the Event was Held	2. Type of Fundraising Activity or Event	3. Date Event was Held	4. Total Amount Received	5. Cost of Event
		____/____/____		
		____/____/____		
		____/____/____		
		____/____/____		
		____/____/____		
		____/____/____		

**NOTE:** Each fundraising activity or event must be listed separately. This schedule must be filed with the Election Finance Statement covering the period in which the fundraising activity or event took place, and is for informational purposes only. All receipts must be itemized on Schedule 1A, Schedule 1B, Schedule 1C, Schedule 1D or be included in unitemized, cash, or anonymous totals on the Summary Page. All costs incurred in connection with fundraising activities or events must be included on Schedule 2, or as in-kind contributions on Schedule 1A.



**DEBTS & OBLIGATIONS SCHEDULE 4**

Page \_\_\_\_ of \_\_\_\_

Candidate/Slate of Candidates/Committee: \_\_\_\_\_

KREF Filer #: \_\_\_\_\_ Period From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

1. Name and Mailing Address of Party to Whom Debt is Owed	2. Type of Obligation	3. Date Incurred	4. Original Amount	5. Prior Payment	6. Payment made this reporting period	7. Outstanding Balance at close of this period
		____/____/____				
		____/____/____				
		____/____/____				
		____/____/____				
		____/____/____				
		____/____/____				

**NOTE:** If you have debts or obligations, this schedule must be filed with every Finance Statement up to and including the period in which all debts are paid or assumed.

The candidate/slate of candidates may convert outstanding loans or debts to a candidate contribution by personally assuming those obligations. If you wish to assume debts outstanding from your campaign, please execute the Certificate of Debt Assumption below.

<b>SUBTOTAL THIS PAGE</b>	\$ _____
<b>TOTAL THIS PERIOD</b> (Only on last page of Schedule)	\$ _____ <small>Enter this total on line 5 "Total Debts and Obligations" on the Summary Page</small>

<b>CERTIFICATE OF DEBT ASSUMPTION</b>		Amount Assumed by Candidate (Only when closing campaign account)  \$ _____
I hereby assume personal responsibility for payment of all outstanding campaign debts for this election.		
_____ SIGNATURE	_____ DATE	